To: **ATHEXGROUP** Date:

 **Members Support & Network Management Unit**

 Tel: +30 210 336 6393, 30 210 336 6385

 E-mail: members-support@athexgroup.gr

|  |  |  |  |
| --- | --- | --- | --- |
| **General Info** |  |  |  |
| Member’s name | :       |  |  |
| OASIS ID | :       | DSS Operator’s ID | :       |
| Address/Postal Code | :       | City/Country | :       |
| Email | :       |  |  |
| Tel. | :       | FAX | :       |
| TIN**[[1]](#footnote-1)**  | :       | Tax Office | :       |
| No of GCR**[[2]](#footnote-2)**  | :       | Issue Date of GCR/Authority | :       |
| BIC | :       |  |  |
| LEI Code | :       | Corporate sector**[[3]](#footnote-3)** | :       |
|  |  |  |  |
| BoD Chairman name  | :       |  |  |
| Tel. | :       | Email | :       |
| CEO name | :       |  |  |
| Tel. | :       | Email | :       |

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| **Key Persons** |
| With this form, the abovementioned participant dictates its designated executives for any communication imposed by ATHEX group’s companies Rules and Decisions |
| **Membership issues** *In this area please fill in persons who have the right to sign application forms for your company regarding membership issues such as:* * *application form for activation/deactivation as ATHEX/ ATHEXClear Member,*
* *application form for activation/deactivation as DSS Operator/ General Operator,*
* *application form for activation/deactivation as Market Maker in Cash or Derivatives Market and*
* *submission of Member’s Profile Form via Service Desk*
 |
| Name | :       | Tel. | :       | Action: | Choose an item. |
| Email | :       | Mob. | :       |  |
| Name | :       | Tel. | :       | Action: | Choose an item. |
| Email | :       | Mob. | :       |  |
| Name | :       | Tel. | :       | Action: | Choose an item. |
| Email | :       | Mob. | :       |  |
| Name | :       | Tel. | :       | Action: | Choose an item. |
| Email | :       | Mob. | :       |  |
| Name | :       | Tel. | :       | Action: | Choose an item. |
| Email | :       | Mob. | :       |  |

**Compliance Officers**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and other Responsible Persons | Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**Cash Trading issues**

*Only certified traders in Cash Market are accepted in this area/ persons have to possess the ATHEX Trading Certification in Cash Market*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and other Responsible Persons | Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**Derivatives Trading issues**

*Only certified traders in Derivatives Market are accepted in this area/ persons have to possess the ATHEX Trading Certification in Derivatives Market*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and other Responsible Persons | Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**XNET Trading issues**

*Only for XNET participants*

|  |  |  |  |  |  |  |
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| Head of dpt and other Responsible Persons | Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**Cash Market Making issues**

*Only certified traders in Cash Market are accepted in this area/ persons have to possess the ATHEX Trading Certification in Cash Market*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and other Responsible Persons | Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**Derivatives Market Making issues**

*Only certified traders in Derivatives Market are accepted in this area/ persons have to possess the ATHEX Trading Certification in Derivatives Market*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and other Responsible Persons | Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**Cash Clearing issues**

*Only for Clearing Members in Cash Market/ persons have to possess the ATHEX Clearing & Settlement Certification in Cash Market*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and other Responsible Persons | Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**Derivatives Clearing issues**

*Only for Clearing Members in Derivatives Market/ persons have to possess the ATHEX Clearing & Settlement Certification in Derivatives Market*

|  |  |  |  |  |  |  |
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| Head of dpt and other Responsible Persons | Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**Settlement & Registry Services**

*Only for Custodians/ persons have to possess the ATHEX Clearing & Settlement Certification in Cash or Derivatives Market*

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| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and other Responsible Persons | Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**Technical (IT) Dpt**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and other Responsible Persons | Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**Notes**

*Write down some notes if you want to specify something unclear*

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| --- |
| **Authorized Signatories** |
| Please attach the Authorized Signatory list of your company with the signature specimens |

|  |
| --- |
| Authorised Signatory’s Name, SignatureCompany Stamp |

1. *TIN for the Tax Identification Number.* [↑](#footnote-ref-1)
2. *GCR for the General Commercial Registry.* [↑](#footnote-ref-2)
3. *The available options of Member’s Corporate Sector mean:* ***C****= Credit institution authorized in accordance with Directive 2006/48/EC,* ***F*** *= Investment firm in accordance with Directive 2004/39/EC.* [↑](#footnote-ref-3)